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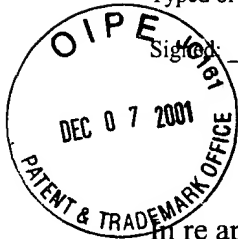
PATENT TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on this 29th day of November, 2001.

Typed or Printed name of person signing this certificate:

Wendy A. Frick

Signed: Wendy A. Frick

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kaumaya, et al.

Serial No.: 09/632,036

Filed: August 3, 2000

For: **POLYPEPTIDES AND
POLYNUCLEOTIDES FOR
ENHANCING IMMUNE REACTIVITY
TO HER-2 PROTEIN**

Examiner: Hunt, J.

Art Unit: 1642

Attorney Docket No.: 18525/04011

Assistant Commissioner for Patents
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

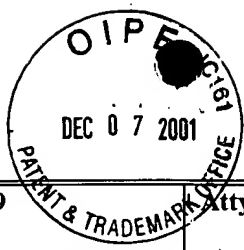
Dear Sir:

The information enclosed and cited on the accompanying form PTO-1449 is hereby submitted in compliance with 37 CFR §§1.56, 1.97 and 1.98 for consideration by the Examiner in connection with the application.

Respectfully submitted,

Date: November 29, 2001By: Pamela A. Docherty

Pamela A. Docherty, Reg. No. 40,591
(216) 622-8416



Subst. Form PTO-1449

Atty. Docket No.: 18525/04011

Serial No. 89/632,036

APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Kaumaya, et al.

Filing Date: August 3, 2000

Group: 1642

U.S. PATENT DOCUMENTS

Initial*		Document No.	Date	Name	Class	Subcl.	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subcl.	Translation?
	AK						
	AL						
	AM						
	AN						
	AO						

OTHER PRIOR ART

	AP	"Evaluation of Chimeric B-Cell Epitope of HER-2: Application to Cancer Patients" Dissertation by Naveen K. Dakappagari, M.S., The Ohio State University, Submitted June 1, 2001.
	AQ	"Biological Effects of Anti-Peptide Antibodies Against HER-2/NEU Receptor Tyrosine Kinase: Implications for Therapy of Human Breast Cancer" Dissertation by Donna-Beth Woodbine, B.S., The Ohio State University, Submitted 1997, Published August 5, 1998.
	AR	
	AS	
	AT	

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformation with MPEP 609; draw line through citation if in conformance and not considered. Include copy of this form with next communication to applicant.